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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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HB Rep  
Missing  
Parts

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/814,123	03/22/2001	Derk J. Hogenkamp	1861.1270001

26111  
STERNE, KESSLER, GOLDSTEIN & FOX PLLC  
1100 NEW YORK AVENUE, N.W., SUITE 600  
WASHINGTON, DC 20005-3934

**CONFIRMATION NO. 2060**

**FORMALITIES LETTER**

OC00000006132207

Date Mailed: 05/31/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*Meseret Kennes*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

JUN - 1 2001

DOCKETED  
Declaration due July 31, 2001  
Stat Bar December 31, 2001  
611  
JMC  
THN  
TM 6/4/01

Add'l fees  
DOCKETED  
Declaration due July 31, 2001  
Stat Bar December 31, 2001

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

ATTORNEYS AT LAW

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\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
\*\*REGISTERED PATENT AGENT  
\*\*\*SENIOR COUNSEL

June 21, 2001

**WRITER'S DIRECT NUMBER:**

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**INTERNET ADDRESS:**

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Commissioner for Patents  
Washington, D.C. 20231

**Box Missing Parts**

Re: U.S. Patent Application  
Appl. No. 09/814,123; Filed: March 22, 2001  
For: Aryl Substituted Pyrazoles, Triazoles and Tetrazoles, and the  
Use Thereof  
Inventors: Hogenkamp *et al.*  
Our Ref: 1861.1270001/JMC/THN

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated May 31, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Fee Transmittal Form (PTO/SB/17) (*in duplicate*);
2. Copy of the Notice to File Missing Parts;
3. Original Declaration, executed by the inventors (six total sheets);
4. Our Check No. 31684 for \$ 130.00 to cover the surcharge for late filing of Declaration (37 C.F.R. § 1.16); and
5. One (1) return postcard.

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

Commissioner for Patents  
June 21, 2001  
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**



John M. Covert  
Attorney for Applicants  
Registration No. 38,759

Enclosures

P:\USERS\STARJAHN\1861\1861.1270001\pto\127-1.RESP.NFMP.TRANS.WPD

# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.*

TOTAL AMOUNT OF PAYMENT	(\$ 130.00)
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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)				
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I.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number	19-0036
Deposit Account Name	Sterne, Kessler, Goldstein & Fox P.L.L.C.

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status  
See 37 CFR 1.27

L  Payment Enclosed:

Check  Credit card  Money Order  Other

Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

## FEE CALCULATION

### L BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355 Utility filing fee
106	320	206	160 Design filing fee
107	490	207	245 Plant filing fee
108	710	208	355 Reissue filing fee
114	150	214	75 Provisional filing fee
<b>SUBTOTAL (1) (\$)</b>			<b>0.00</b>

### L EXTRA CLAIM FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
Total Claims	25	- 25** = 0 X _____	= _____
Indep. Claims	5	- 5** = 0 X _____	= _____
Multiple Dependent		_____ = _____	
<b>SUBTOTAL (2) (\$)</b>			<b>0.00</b>

\* or number previously paid, if greater. For Reissues, see above

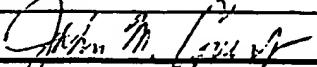
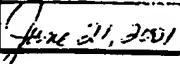
Complete If Known					
Application Number					09/814,123
Filing Date					March 22, 2001
First Named Inventor					Derk J. Hogenkamp
Examiner Name					To Be Assigned
Group Art Unit					To Be Assigned
Attorney Docket No.					1861.1270001/JMC/THN
FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity	Small Entity	Fee Description	Fee	Fee Description	Fee paid
			Code (\$)	Fee (\$)	
			105	130	205 65 Surcharge - late filing fee or oath
			127	50	227 25 Surcharge - late provisional filing fee or cover sheet
			139	130	130 Non-English specification
			147	2,520	147 2,520 For filing a request for ex parte reexamination
			112	920*	112 920* Requesting publication of SIR prior to Examiner action
			113	1,840*	113 1,840* Requesting publication of SIR after Examiner action
			115	110	215 55 Extension for reply within first month
			116	390	216 195 Extension for reply within second month
			117	890	217 445 Extension for reply within third month
			118	1,390	218 695 Extension for reply within fourth month
			128	1,890	228 945 Extension for reply within fifth month
			119	310	219 155 Notice of Appeal
			120	310	220 155 Filing a brief in support of an appeal
			121	270	221 135 Request for oral hearing
			138	1,510	138 1,510 Petition to institute a public use proceeding
			140	110	240 55 Petition to revive - unavoidable
			141	1,240	241 620 Petition to revive - unintentional
			142	1,240	242 620 Utility issue fee (or reissue)
			143	440	243 220 Design issue fee
			144	600	244 300 Plant issue fee
			122	130	122 130 Petitions to the Commissioner
			123	130	123 130 Petitions related to provisional applications
			126	180	126 180 Submission of Information Disclosure Stmt
			581	40	481 40 Recording each patent assignment per property (times number of properties)
			146	710	248 355 Filing a submission after final rejection (37 CFR 1.129(a))
			149	710	249 355 For each additional invention to be examined (37 CFR 1.129(b))
			179	710	279 355 Request for Continued Examination (RCE)
			169	800	169 900 Request for expedited examination of a design application
Other fee (specify):					
Other fee (specify):					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

130.00

### SUBMITTED BY

Name (Print/Type)	John M. Covert	Registration No. (Attorney/Agent)	38,759	Telephone	202-371-2600
Signature				Date	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Service Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.